1FW16.

CRF Problem Report

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

BIOTECHNOLOGY SYSTEMS BRANCH

Application Serial Number: 09/831, 2530
Filing Date: 6/17/01
Date Processed by STIC: 3/31/04

STIC Contact: Mark Spencer: Telephone: 703-308-4212; Fax: 703-308-4221 <u>Effective 12/13/03</u>: Telephone: 571-272-2510; Fax: 571-273-0221

, Nature of Problem:

The CRF (was): •• 16	'
	(for Unreadable, see attached)
Blank (no files on CRF) (see attached	d)
Empty file (filename present, but no	bytes in file) (see attached)
Virus-infected. Virus name:	The STIC will not process the CRF
Not saved in ASCII text	•
Sequence Listing was embedded in t submitted file should only be the S	the file. According to Sequence Rules, Sequence Listing.
Did not contain a Sequence Listing.	(see attached sample)
Other:	

PLEASE USE THE CHECKER VERSION 4.1 PROGRAM TO REDUCE ERRORS. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkr41note.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual cPAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry directly to (EFFECTIVE 12/01/2003):
 U.S. Patent and Trademark Office, Box Sequence, Customer Window, Lobby, Room 1B03, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202
- Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1803-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 10/08/03

OIPE				
JUN 2 3 2004	Application No. 09/831,253	Applicant(s) EZQUERRO SAENZ ET AL. Art Unit		
Notice to Comply	Examiner			
MAULT	Ron Schwadron,	1644		
NOTICE TO COMPLY WITH REQ	Ph.D. UIREMENTS FOR PATENT	APPLICATIO)NS	
CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES				
Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).				
The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):				
1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).				
2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).				
3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).				
4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."				
5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).				
6. The paper copy of the "Sequence Listing" is not the same as the computer readable from of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).				
7. Other:				
Applicant Must Provide: An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".				
An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.				
A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).				
For questions regarding compliance to these requirements, please contact:				
For Rules Interpretation, call (703) 308-4216 or (703) 308-2923 For CRF Submission Help, call (703) 308-4212 or 308-2923 Patentln Software Program Support				
Technical Assistance				
PLEASE RETURN A COPY OF TH	IS NOTICE WITH YOUR R	EPLY		

09/831,2530 BEST AVAILABLE COPY

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1,457,664 bytes free

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